

11/02/01



JC928 U.S. PTO

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Please type a plus sign (+) inside this box ☒
 Approved for use through 10/31/2002. OMB 0951-0032
 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. SCEI 3.0-103

First Inventor Muneki Shimada

Title TERMINAL DEVICE FOR AUTHORIZING, etc.

Express Mail Label No.

EL 807554765US

(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 800 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
 (Deliver an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status
 See 37 CFR 1.27
3. ☒ Specification [Total Pages 41]

(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the invention
- Brief Summary of the invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claims
- Abstract of the Disclosure

- 4.
- ☒
- Drawing(s) (35 U.S.C. 113) [Total Sheets 10]

5. Oath or Declaration [Total Pages]

- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))
 (for continuation/divisional with Box 18 completed)
- ☐ DELETION OF INVENTOR(S)
 Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.35(b)

- 6.
- ☐
- Application Data Sheet. See 37 CFR 1.76

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Prior application information: Examiner

Group / Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

000530

or ☐ Correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Robert B. Cohen

Registration No. (Attorney/Agent)

32,768

Signature

Date

November 2, 2001

11/02/01



PTO/SB/17 (10-01)

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 908.00

Complete if Known

Application Number Not Yet Assigned
Filing Date
First Named Inventor Muneki Shimada
Examiner Name Not Yet Assigned
Group Art Unit N/A
Attorney Docket No. SCEI 3.0-103

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
Deposit Account Number 12-1095
Deposit Account Name Lerner, David, Littenberg, Krumholz & Mentlik, LLP
☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 ☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed
☐ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE					
Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee Description
101	740	201	370		Utility filing fee
106	330	206	195		Design filing fee
107	510	207	255		Plant filing fee
108	740	208	370		Resub filing fee
114	160	214	80		Provisional filing fee
SUBTOTAL (1)					740.00

2. EXTRA CLAIM FEES		Extra Claims	Fee from below	Fee Paid
Total Claims	16	-20** =		0.00
Independent Claims	5	-3** =	2	168.00
Multiple Dependent				

Large Entity		Small Entity		
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

**or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES						
Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description
105	130	205	65			Surcharge - late filing fee or oath
127	50	227	25			Surcharge - late provisional filing fee or cover sheet
139	130	139	130			Non-English specification
147	2,520	147	2,520			For filing a request for ex parte reexamination
112	920*	112	920*			Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840*			Requesting publication of SIR after Examiner action
115	110	215	55			Extension for reply within first month
116	400	216	200			Extension for reply within second month
117	920	217	460			Extension for reply within third month
118	1,440	218	720			Extension for reply within fourth month
128	1,960	228	980			Extension for reply within fifth month
119	320	219	160			Notice of Appeal
120	320	220	160			Filing a brief in support of an appeal
121	280	221	140			Request for oral hearing
138	1,510	138	1,510			Petition to institute a public use proceeding
140	110	240	55			Petition to revive - unavoidable
141	1,280	241	640			Petition to revive - unintentional
142	1,280	242	640			Utility issue fee (or resue)
143	460	243	230			Design issue fee
144	620	244	310			Plant issue fee
122	130	122	130			Petitions to the Commissioner
123	50	123	50			Processing fee under 37 CFR 1.17(a)
126	180	126	180			Submission of Information Disclosure Stmt
581	40	581	40			Recording each patent assignment per property (times number of properties)
146	740	246	370			Filing a submission after final rejection (37 CFR 1.125(b))
149	740	249	370			For each additional invention to be examined (37 CFR 1.125(b))
179	740	279	370			Request for Continued Examination (RCE)
168	900	168	900			Request for expedited examination of a design application
Other fee (specify)						
*Reduced by Basic Filing Fee Paid					SUBTOTAL (3)	0.00

SUBMITTED BY		Complete (if applicable)	
Name (print/type)	Robert B. Cohen	Registration No. (Attorney/Agent)	32,768
Signature		Telephone	(908) 518-6316
		Date	November 2, 2001